FINANCIAL ADVISOR INTERVIEW

Name

Email

Phone

What specific qualifications and credentials do you have as a financial advisor? How do they help you serve your clients effectively?

Are you held to a fiduciary standard? Can you explain how you prioritize your clients' best interests in your practice?

How do you approach investment planning and asset allocation, and how does your strategy align with my risk tolerance and long-term objectives?

What is your fee structure? Do you charge a flat fee, subscription fee, or a percentage of returns?

FINANCIAL ADVISOR INTERVIEW

Can you provide examples of clients with similar financial goals or challenges to mine whom you've helped in the past? How did those past experiences go and what recommendations did you make to them?

How frequently do you communicate with clients? Do you prefer to have a face-to-face meeting or meet remotely?

And am I able to contact you outside of our scheduled meetings if I have important questions that come up?

What is your investment philosophy, and how do you adapt it to changing financial markets and client circumstances?

FINANCIAL ADVISOR INTERVIEW

Can you walk me through your client onboarding process and how you tailor your services to meet the unique needs and preferences of a new client?

How do you stay informed about current trends, regulatory changes, and best practices in financial planning and investment management?

Do you have any potential conflicts of interest that might affect your recommendations?

Can you provide references or client testimonials, and may I contact them for additional insights into their experiences working with you?